Please type a plus sign (+) inside this box

Approved to through 10/31/2002. OBM 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

number		
0 %	Application Number	10/073,931
TRANSMITTAL	Filing Date	February 14, 2002
SEP 2 5 2002 FORM	First Named Inventor	DOSHI, Bharat T.
(to be used to all correspondence after initial filing)	Group Art Unit	2873
TRADE	Examiner Name	Not Assigned
Total Number of Pages in This Submission 5	Attorney Docket Number	LUCE021

	ENCLOSURES (check all that apply)					
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement, including form PTO/SB/08A and cited references	Assignment Papers Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Associate Power of Attorney Change of Correspondence Address Request for Refund CD, Number of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):				
Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks: The number of pages in this submission does not include cited references.					
Firm John M. Briski	RE OF APPLICANT, ATTORNEY, O	RAGENT				
or Individual name Signature Date September 20, 200	l-					
CERTIFICATE OF MAILING						
						
Signature A	Date	September 20, 2002				
	July	55p.6711001 20, 2002				
	,					



Patent

Customer No.: 006980

In re application of DOSHI, Bharat T. et al. Group Art Unit: 2873 Serial No.: 10/073,931 . Examiner: Not Assigned Filed: February 14, 2002) Atty. Docket #: LUCE021 METHODS AND DEVICES FOR)@lient Docket #: **DOSHI 52-2-17-18-1-1** For: PROVIDING OPTICAL SERVICED-

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: September 20, 2002

Signature: /John Mj Briski, Reg. No. 44,562

ENABLED CROSS-CONNECTIONS

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the continuing duty under 37 C.F.R. §1.56 to disclose to the United States Patent and Trademark Office any information that may be material to patentability of the above-identified invention, enclosed please find form PTO/SB/08A listing references presently known to Applicant relating to the above-identified application. Copies of the references are also included. The submission of this information shall not be deemed an admission that this

information is necessarily material to the patentability of the above-identified invention.

This information disclosure statement is being submitted prior to the mailing date of a first *Office Action* on the merits. Therefore, Applicant believes no fee is due under 37 C.F.R. §1.17.

The Commissioner is hereby authorized to charge any deficiency in fees to PTO Deposit Account No. 20-1507.

Respectfully submitted,

By: John M. Bright

Reg. No. 44,562

TROUTMAN SANDERS LLP Bank of America Plaza, Suite 5200 600 Peachtree Street, N.E. Atlanta, Georgia 30308-2216

Tel. No.: 404-885-3141

e a plus sign (+) inside this box

use through 10/31/2002. OMB 0651-0031 Approved

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete If Known Substitute for form 1449A/PTO **Application Number** 10/073,931 February 14, 2002 Filing Date INFORMATION DISCLOSURE DOSHI, Bharat T. First Named Inventor STATEMENT BY APPLICANT 2873 Group Art Unit (use as many sheets as necessary) **Examiner Name** Not Assigned LUCE021 Sheet 2 Attorney Docket Number of

	U.S. PATENT DOCUMENTS						
		U.S. Paten	t Document			Pages, Columns, Lines,	
Examiner Initials	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
						m	
						- CH S Z	
			-++		 		
						S N M	
					+	7 VE 23 F	
						1002 11 ER	
						2 2	
			- [80	

			F	OREIGN	PATENT DOCUMENT	S		
Examiner Initials	Cite No. ¹	Office ³	Foreign Patent Documer Number 4	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
			GB 2 346 280 A	1	Hewlett-Packard Company	08-02-2000		
			WO 98/47039 Corrected Version	n	Optical Networks, Inc.	10-22-1998		
			WO 98/47039		Optical Networks, Inc.	10-22-1998		
			EP 1 009 119 A	2	Nortel Networks Corporation	06-14-2000		
			EP 0 473 331 A	2	American Telephone and Telegraph Company	03-04-1992		
				_				

Examiner	Date	
Signature	Considered	

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the twoletter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Please ppe a plus sign (+) inside this box +

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete If Known Substitute for form 1449B/PTO Application Number 10/073,931 Filing Date February 14, 2002 INFORMATION DISCLOSURE DOSHI, Bharat T. First Named Inventor STATEMENT BY APPLICANT 2873 Group Art Unit (use as many sheets as necessary) **Examiner Name** Not Assigned Sheet of 2 Attorney Docket Number LUCE021 2

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number)s), publisher, city and/or county where published.	T^2
		LEHR, G. et al., "Management of All-Optical WDM Networks, First Results of European Research Project MOON", 1998 IEEE Network Operations and Management Syposium, February 15-20, 1998, Volume 3, Pages 870-879.	7.0.0 S
		CENTE	7007
		CENTER 2800	
Exami		Date	

Examiner	Date	
Signature	Considered	

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.